### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Didier Trono and Maciej Wiznerowicz

Serial No.: 10/720,987

Filed: November 24, 2003

For: COMPOSITIONS AND SYSTEMS FOR

THE REGULATION OF GENES

Group Art Unit: 1635

Examiner: Ashen, Jon Benjamin

Atty. Dkt. No.: CLFR:023US

CERTIFICATE OF MAILING 37 C.F.R 1.8

I hereby certify that this correspondence is being deposited with the U.S. Postal Service with sufficient postage as First Class Mail in an envelope addressed to: MS AMENDMENT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date below:

November 17, 2006

Date

David L. Parker

#### SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

#### MS AMENDMENT

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

In compliance with the duty of disclosure under 37 C.F.R. § 1.56, it is respectfully requested that this Supplemental Information Disclosure Statement be entered and the documents listed on attached Form PTO-1449 be considered by the Examiner and made of record. Copies of the listed documents required by 37 C.F.R. § 1.98(a)(2) are enclosed for the convenience of the Examiner.

In accordance with 37 C.F.R § 1.97(g), (h), this Supplemental Information Disclosure Statement is not to be construed as a representation that a search has been made, and is not to be construed to be an admission that the information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

180.00 Dp

A fee as set forth in 37 C.F.R. § 1.17(p) in the amount of \$180.00 is enclosed. If an appropriate check has not been enclosed, or if it is insufficient, the Commissioner is authorized to deduct the appropriate fee from Fulbright & Jaworski Account No.: 50-1212/CLFR:023US.

Applicants respectfully request that the listed documents be made of record in the present case.

ly submitted.

David L. Parker Reg. No. 32,165 Attorney for Applicants

FULBRIGHT & JAWORSKI L.L.P. 600 Congress Avenue, Suite 2400 Austin, Texas 78701 (512) 474-5201

Date:

November 17, 2006

Page 1 of 3 Atty. Docket No. Serial No. Form PTO-1449 (modified) CLFR:023US 10/720,987 List of Patents and Publications for Applicant's **Applicant Didier Trono** IS FORMATION DISCLOSURE STATEMENT Maciej Wiznerowicz Filing Date: Group: (Use several sheets if necessary) November 24, 2003 1635 Other Art **U.S. Patent Documents Foreign Patent Documents** See Page 1 See Page 1 See Page 1-3 **U.S. Patent Documents** Filing Date Document Class Sub Ref. Date Name Exam. Number Class of App. Init. Des. **Foreign Patent Documents Document** Language Exam. Ref. **Date** Country Des. Number Init. 09/20/01 **WIPO English** B10 WO 01/68836 B11 WO 02/066638 08/29/02 **WIPO** Japanese (English Abstract)

### Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

**WIPO** 

**WIPO** 

English English

03/20/03

09/04/03

Exam. Init.	Ref. Des.	Citation
	C304	Allikian et al., "Doxycycline-induced expression of sense and inverted-repeat constructs modulates phosphogluconate metase (Pgm) gene expression in adult Drosophila melanogaster," Genome Biology, 3:research0021.1-0021.10, 2002.
	C305	Archambault and Friesen, "Genetics of Eukaryotic RNA Polymerases I, II, and III," Microbiol. Reviews, 57:703-724, 1993.
	C306	Bellefroid et al., "The evolutionary conserved Krüppel-associated box domain defines a subfamily of eukaryotic multifingered proteins," Proc. Natl. Acad. Sci. USA, 88:3608-3612, 1991.
	C307	Clark and Docherty, "Negative regulation of transcription in eukaryotes," <i>Biochem. J.</i> , 296:521-541, 1993.
	C308	Cowell, "Repression versus activation in the control of gene transcription," <i>TIBS</i> , 19:38-42, 1994.
	C309	Czauderna et al., "Inducible shRNA expression for application in prostate cancer mouse model," Nucleic Acids Research, 31:e127, 2003.

25717361.1

B12

B13

WO 03/022052

WO 03/072788

Examiner:	DATE CONSIDERED:
-----------	------------------

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)		Atty. Docket No. CLFR:023US	Serial No. 10/720,987
List of Patents and Publications for Applicant's  INFORMATION DISCLOSURE STATEMENT		Applicant Didier Trono Maciej Wiznerowicz	
(Use several sheets if necessary)		Filing Date: November 24, 2003	Group: 1635
U.S. Patent Documents	Foreign F	atent Documents	Other Art
See Page 1		ee Page 1	See Page 1-3

# Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C310	Dingermann et al., "RNA polymerase II catalysed transcription can be regulated in Saccharomyces cerevisiae by the bacterial tetracycline repressor- operator system," EMBO J., 11:1487-1492, 1992.
	C311	Houdebine, "The methods to generate transgenic animals and to control transgene expression," J. Biotechnology, 98:145-160, 2002.
	C312	Kafri et al., "Lentiviral Vectors: Regulated Gene Expression," Mol. Ther., 1:516-521, 2000.
	C313	Lam and Thummel, "Inducible expression of double-stranded RNA directs specific genetic interference in Drosophila," <i>Current Biology</i> , 10:957-963, 2000.
	C314	Matsukura et al., "Establishment of conditional vectors for hairpin siRNA knockdowns," Nucleic Acids Research, 31:e77, 2003.
	C315	Moosmann et al., "Silencing of RNA Polymerases II and III-Dependent Transcription by the KRAB Protein Domain of KOX1, A Krüppel-Type Zinc Finger Factor," Biol. Chem., 378:669-677, 1997.
	C316	Paule and White, "Trancription by RNA polymerases I and III," <i>Nucleic Acids Research</i> , 28:1283-1298, 2000.
	C317	Schramm and Hernandez, "Recruitment of RNA polymerase III to its target promoters," Gene & Dev., 16:2593-2620, 2002.
	C318	Scott et al., "Regulation of RNA Polymerase III Transcription during Cell Cycle Entry," J. Biol. Chem., 276:1005-1014, 2001.
	C319	Senatore et al., "A variety of RNA polymerases II and III-dependent promoter classes is repressed by factors containing the Krüppel-associated/finger preceding box of zinc finger proteins," Gene, 234:381-394, 1999.
	C320	Shi et al., "Genetic interference in Typanosoma brucei by heritable and inducible double-stranded RNA," RNA, 6:1069-1076, 2000.
	C321	Tuschl and Borkhardt, "Small Interfering RNAs: A Revolutionary Tool for the Analysis of Gene Function and Gene Therapy," <i>Molecular Interventions</i> , 2:158-167, 2002.
	C322	Yu et al., "RNA interference by expression of short-interfering RNAs and hairpin RNAs in mammalian cells," Proc. Natl. Acad. Sci. USA, 99:6407-6052, 2002.

25717361.1

Examiner:	DATE CONSIDERED:
· · · · · · · · · · · · · · · · · · ·	<del></del>

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.

Form PTO-1449 (modified)		Atty. Docket No. CLFR:023US	Serial No. 10/720,987
List of Patents and Publications for Applicant's		Applicant Didier Trono	
INFORMATION DISCLOSURE STATEMENT		Maciej Wiznerowicz	
(Use several sheets if necessar	у)	Filing Date: November 24, 2003	Group: 1635
U.S. Patent Documents	•	atent Documents	Other Art
See Page 1		ee Page 1	See Page 1-3

## Other Art (Including Author, Title, Date Pertinent Pages, Etc.)

Exam. Init.	Ref. Des.	Citation
	C323	Zhu et al., "Use of the Tetracycline-controlled Transcriptional Silencer (tTS) to Eliminate Transgene Leak in Inducible Overexpression Transgenic Mice," J. Biol. Chem., 276:25222-25229, 2001.

25717361.1

Examiner: Date Considered:

EXAMINER: INITIAL IF REFERENCE CONSIDERED, WHETHER OR NOT CITATION IS IN CONFORMANCE WITH MPEP609; DRAW LINE THROUGH CITATION IF NOT IN CONFORMANCE AND NOT CONSIDERED. INCLUDE COPY OF THIS FORM WITH NEXT COMMUNICATION TO APPLICANT.